

# The Edmonds-Gallai Decomposition for the $k$ -Piece Packing Problem

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## Abstract

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Generalizing Kaneko's long path packing problem, Hartvigsen, Hell and Szabó consider a new type of undirected graph packing problem, called the *k-piece packing problem*. A *k-piece* is a simple, connected graph with highest degree exactly  $k$  so in the case  $k = 1$  we get the classical matching problem. They give a polynomial algorithm, a Tutte-type characterization and a Berge-type minimax formula for the *k-piece* packing problem. However, they leave open the question of an Edmonds-Gallai type decomposition. This paper fills this gap by describing such a decomposition. We also prove that the vertex sets coverable by *k-piece* packings have a certain matroidal structure.

## 1 Introduction

In this paper all graphs are simple and undirected. Given a set  $\mathcal{F}$  of graphs, an  $\mathcal{F}$ -*packing* of a graph  $G$  is a subgraph  $P$  of  $G$  such that each connected component of  $P$  is isomorphic to a member of  $\mathcal{F}$ . An  $\mathcal{F}$ -packing  $P$  is called *maximal* if there is no  $\mathcal{F}$ -packing  $P'$  with  $V(P) \subsetneq V(P')$ . An  $\mathcal{F}$ -packing is *maximum* if it covers a maximum number of vertices of  $G$  and it is *perfect* if it covers every vertex of  $G$ . The  $\mathcal{F}$ -packing problem is to describe the properties of the  $\mathcal{F}$ -packings of  $G$ . Finally, the  $\mathcal{F}$ -packing problem is *polynomial* if for all input graphs  $G$  the size of the maximum  $\mathcal{F}$ -packings of  $G$  can be determined in time polynomial in the size of  $G$ . (The size of a graph is the number of its vertices.)

Several polynomial  $\mathcal{F}$ -packing problems are known in the case  $K_2 \in \mathcal{F}$ . For instance, we get a polynomial packing problem if  $\mathcal{F}$  consists of  $K_2$  and a finite set of hypomatchable graphs [2, 3, 4, 6]. A complete classification of the  $\{K_2, F\}$ -packing problems for graphs  $F$  is given in [10]. In all known polynomial  $\mathcal{F}$ -packing problems with  $K_2 \in \mathcal{F}$  it holds that each maximal  $\mathcal{F}$ -packing is maximum too; those vertex sets which can be covered by an  $\mathcal{F}$ -packing form a matroid (this is the *matroidal property*); and the analogue of the Edmonds-Gallai structure theorem holds.

The first polynomial  $\mathcal{F}$ -packing problem with  $K_2 \notin \mathcal{F}$  was considered by Kaneko [7], who presented a Tutte-type characterization of graphs having a perfect packing by *long paths*, ie. by paths of length at least 2. A shorter proof for Kaneko's theorem and a min-max formula was subsequently found by Kano, Katona and Király [8] but polynomiality remained open. The long path packing problem was generalized by Hartvigsen, Hell and Szabó [5] by introducing the *k-piece packing problem*, ie. the  $\mathcal{F}$ -packing problem where  $\mathcal{F}$

consists of all *connected graphs with highest degree exactly  $k$* . Such a graph is called a  *$k$ -piece*. Note that a 1-piece is just  $K_2$ , thus the 1-piece packing problem is the classical matching problem. The 2-piece packing problem is equivalent to the long path packing problem because a 2-piece is either a long path or a circuit  $C$  of length at least 3 so deleting an edge from  $C$  results in a long path. The main result of [5] is a polynomial algorithm for finding a maximum  $k$ -piece packing. From this algorithm a characterization for graphs having a perfect  $k$ -piece packing and a min-max result for the size of a maximum  $k$ -piece packing are derived.

Neither the Edmonds-Gallai decomposition nor the matroidal property of packings is considered in [5]. This paper fills this gap by giving a canonical Edmonds-Gallai type decomposition for the  $k$ -piece packing problem. We also show that the vertex sets coverable by *maximal  $k$ -piece packings* have a certain matroidal structure, see Section 2. It turns out that in the  $k$ -piece packing problem maximal and maximum packings do not coincide and the maximal packings are of more interest than the maximum ones.

In Section 5 we present some results on *barriers* related to  $k$ -piece packings, for instance we prove that the intersection of two barriers is a barrier.

The number of connected components of a graph  $G$  is denoted by  $c(G)$  and the highest degree of  $G$  by  $\Delta(G)$ . For  $X \subseteq V(G)$  the subgraph induced by  $X$  is denoted by  $G[X]$ , and the set of vertices in  $V(G) - X$  which are adjacent to a vertex in  $X$  is denoted by  $\Gamma(X)$ . We say that an edge  $e$  *enters*  $X$  if exactly one end-vertex of  $e$  is contained in  $X$ . For a subgraph  $P$  of  $G$  let  $G - P = G[V(G) - V(P)]$ . Finally, we say that an  $\mathcal{F}$ -packing  $P$  of  $G$  *misses* a vertex set  $X \subseteq V(G)$  if  $X \cap V(P) = \emptyset$  and that  $P$  *covers*  $X$  if  $X \subseteq V(P)$ .

## 2 The theorems

In this section we state the main theorems of the paper. The proofs are contained in Sections 4 and 7. Till Section 8,  $k$  is a fixed positive integer.

**Definition 2.1.** A  *$k$ -piece* is a connected graph  $G$  with  $\Delta(G) = k$ .

**Definition 2.2.** For a graph  $G$  we denote  $I_G = G[\{v \in V(G) : \deg_G(v) \geq k\}]$ .

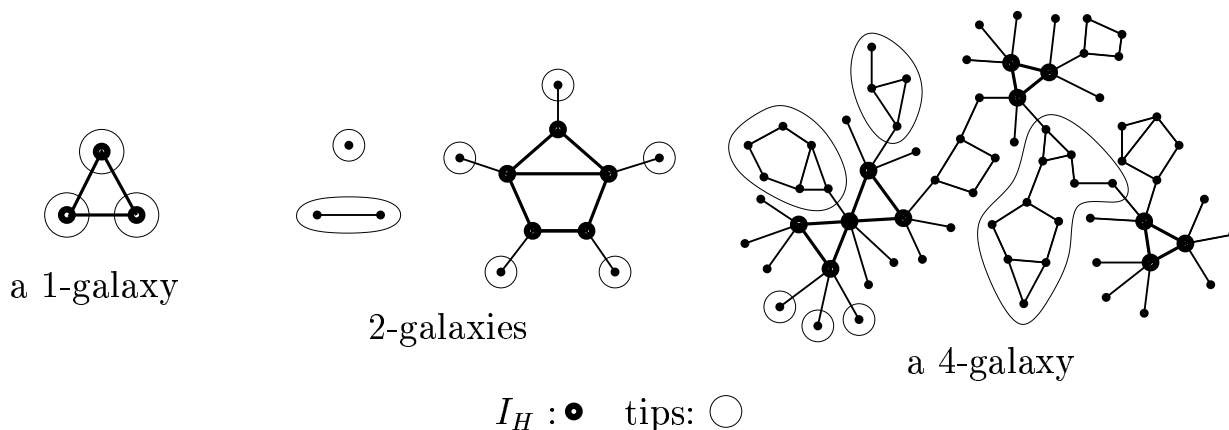
**Definition 2.3.** A graph  $G$  is *hypomatchable* if  $G - v$  has a perfect matching for all  $v \in V(G)$ .

In [5] it was revealed that *galaxies* play a central role in the  $k$ -piece packing problem.

**Definition 2.4.** [5] For an integer  $k \geq 1$  the connected graph  $H$  is a  $k$ -*galaxy* if it satisfies the following properties:

- each component of  $I_H$  is a hypomatchable graph,
- for each  $v \in V(I_H)$  there exist exactly  $k - 1$  edges between  $v$  and  $V(H) - V(I_H)$ , each being a cut edge in  $H$ .

A hypomatchable graph has no vertex of degree 1 so a  $k$ -galaxy has no vertex of degree  $k$ . Furthermore, each component of  $I_H$  is a hypomatchable graph on at least 3 vertices. Since  $k$  is fixed, we shall call a  $k$ -galaxy simply a *galaxy*. Galaxies generalize hypomatchable graphs because the 1-galaxies are exactly the hypomatchable graphs. The 2-galaxies were introduced by Kaneko under the name ‘sun’ [7]. See **Fig. 1** for some galaxies. The vertices of  $I_H$  are drawn as big dots and the edges of  $I_H$  as thick lines.



**Fig. 1. Galaxies**

The following important property of galaxies was proved in [5].

**Lemma 2.5.** [5] *A  $k$ -galaxy has no perfect  $k$ -piece packing.* □

Now we introduce special subgraphs of galaxies, called *tips*. Each tip is circled by a thin line in **Fig. 1** (except in the 4-galaxy of **Fig. 1** where not all tips are circled).

**Definition 2.6.** [5] If  $k \geq 2$  then for a  $k$ -galaxy  $H$  the connected components of  $H - V(I_H)$  are called *tips*. In the case  $k = 1$  we call each vertex of  $H$  a *tip*. The union of vertex sets of the tips is denoted by  $W_H \subseteq V(H)$ .

So  $W_H = V(H)$  if  $k = 1$  and  $W_H = V(H) - V(I_H)$  if  $k \geq 2$ . In the case  $k \geq 2$  a  $k$ -galaxy may consist of only a single tip (a graph with highest degree at most  $k - 1$ ), but must always contain at least one tip.

The Edmonds-Gallai structure theorem can be formulated for the  $k$ -piece packing problem as follows. The classical Edmonds-Gallai theorem first defines the vertex set  $D$  to consist of those vertices which can be missed by a maximal matching. In the  $k$ -piece packing problem we have to use a different formulation. This causes the fact that Theorem 2.8 is not a direct generalization of the classical Edmonds-Gallai theorem.

**Definition 2.7.** For a graph  $G$  let

$$U_G = \{v \in V(G) : \text{there exists a maximal } k\text{-piece packing } P \text{ of } G \text{ with } v \notin V(P)\}.$$

**Theorem 2.8.** For a graph  $G$  let  $D = \{v : |U_{G-v}| < |U_G|\}$ ,  $A = \Gamma(D)$  and  $C = V(G) - (D \cup A)$ . Now

1. the connected components of  $G[D]$  are  $k$ -galaxies,
2. for all  $\emptyset \neq A' \subseteq A$  the number of those  $k$ -galaxy components of  $G[D]$  which are adjacent to  $A'$  is at least  $k|A'| + 1$ ,
3.  $G[C]$  has a perfect  $k$ -piece packing,
4. a  $k$ -piece packing  $P$  of  $G$  is maximal if and only if
  - (a) exactly  $k|A|$  connected components of  $G[D]$  are entered by an edge of  $P$  and these components are completely covered by  $P$ ,
  - (b) if  $H$  is a component of  $G[D]$  not entered by  $P$  then  $P[H]$  is a maximal  $k$ -piece packing of  $H$ ,
  - (c)  $P[C]$  is a perfect  $k$ -piece packing of  $G[C]$ ,
5. for each maximal  $k$ -piece packing  $P$  of  $G$ , the graph  $G - P$  has exactly  $c(G[D]) - k|A|$  connected components.

For proof, see Section 4. We could also choose  $D = \{v : U_{G-v} \subsetneq U_G\}$  by Theorem 4.19.

It is a well known fact in matching theory that those vertex sets which can be covered by a matching form a matroid. In the  $k$ -piece packing problem this property holds only in the following weaker form. The proof is contained in Section 7.

**Theorem 2.9.** *There exists a partition  $\pi$  on  $V(G)$  and a matroid  $\mathcal{M}$  on  $\pi$  such that the vertex sets of the maximal  $k$ -piece packings are exactly the vertex sets of the form  $\bigcup\{X : X \in \pi'\}$  where  $\pi'$  is a base of  $\mathcal{M}$ .*

### 3 Preliminaries

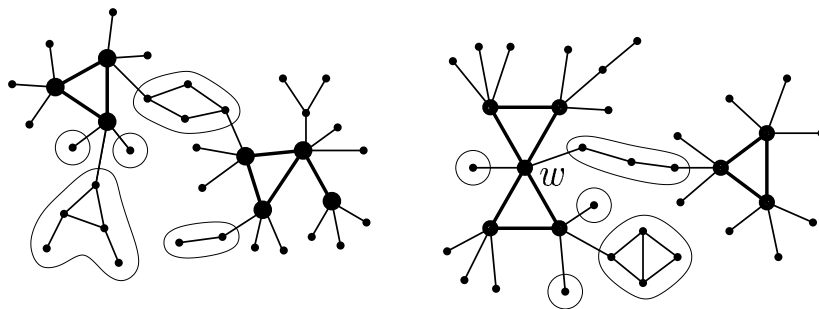
In this section we summarize the results and notions of [5] which are needed to prove the main theorems of the paper. First we introduce two other classes of graphs which are near to galaxies.

**Definition 3.1.** For an integer  $k \geq 2$  the connected graph  $H$  is an *almost  $k$ -galaxy of type 1* if it satisfies the following properties:

- one of the components of  $I_H$  has a perfect matching and the others are hypomatchable,
- for each  $v \in V(I_H)$  there exist exactly  $k - 1$  edges between  $v$  and  $V(H) - V(I_H)$ , each being a cut edge in  $H$ .

**Definition 3.2.** For an integer  $k \geq 2$  the connected graph  $H$  is an *almost  $k$ -galaxy of type 2* if it satisfies the following properties:

- each component of  $I_H$  is a hypomatchable graph,
- there is a distinguished vertex  $w \in V(I_H)$  such that for each  $v \in V(I_H)$  each edge between  $v$  and  $V(H) - V(I_H)$  is a cut edge in  $H$ , and the number of these edges is  $k - 1$  for  $v \neq w$  and  $k - 2$  for  $w$ .



almost  $k$ -galaxy of type 1    almost  $k$ -galaxy of type 2

**Fig. 2.** Almost galaxies,  $k = 4$

**Fig. 2** shows some almost 4-galaxies. Just like in the case of galaxies, we define tips for almost galaxies. Some tips are circled by a thin line in **Fig. 2**.

**Definition 3.3.** For an almost galaxy  $H$  the connected components of  $H - I_H$  are called *tips*.

Many properties of the galaxies are explained by the following lemma, which is implicit in [5].

**Lemma 3.4.** *Each almost  $k$ -galaxy has a perfect  $k$ -piece packing.*

*Proof.* First we prove the statement for almost galaxies of type 2. Let  $H$  be an almost  $k$ -galaxy of type 2. We proceed by induction on  $|V(H)|$ . Let  $K$  be the component of  $I_H$  containing the specified vertex  $w$ .  $K$  is a hypomatchable graph on at least 3 vertices so it is easy to see that  $w$  has two neighbors  $w', w'' \in V(K)$  such that  $K - \{w', w, w''\}$  has a perfect matching  $M$ . For each edge  $uv \in M$  let  $P_{uv}$  be the subgraph of  $H$  induced by the vertex set

$$\{u, v\} \cup \bigcup \{V(T) : T \text{ is a tip of } H \text{ adjacent to } \{u, v\}\}.$$

Furthermore, let  $P_w$  be the subgraph of  $H$  induced by the vertex set

$$\{w', w, w''\} \cup \bigcup \{V(T) : T \text{ is a tip of } H \text{ adjacent to } \{w', w, w''\}\},$$

with the deletion of the edge  $w'w''$  (if any). Clearly  $P_{uv}$  ( $uv \in M$ ) and  $P_w$  are disjoint  $k$ -piece subgraphs of  $H$ . Deleting these  $k$ -pieces from  $H$ , each connected component of the remaining graph is an almost  $k$ -galaxy of type 2 so we are done by induction.

Now let  $H$  be an almost  $k$ -galaxy of type 1. Denote by  $K$  the perfectly matchable component of  $I_H$ . For each edge  $uv$  of a perfect matching of  $K$  let  $P_{uv}$  be the  $k$ -piece subgraph of  $H$  induced by the vertex set

$$\{u, v\} \cup \bigcup \{V(T) : T \text{ is a tip of } H \text{ adjacent to } \{u, v\}\}.$$

Deleting these  $k$ -pieces from  $H$ , each connected component of the remaining graph is an almost  $k$ -galaxy of type 2 so we are done by the first part of the proof.  $\square$

**Lemma 3.5.** [5] *If  $T$  is a tip of a  $k$ -galaxy  $H$  then  $H - T$  has a perfect  $k$ -piece packing.*

*Proof.* The statement holds for  $k = 1$  by definition. Let  $k \geq 2$ . It is easy to see that each component of  $H - T$  is an almost  $k$ -galaxy of type 2, which has a perfect  $k$ -piece packing by Lemma 3.4.  $\square$

For the proof of the following lemma see [5].

**Lemma 3.6.** [5] *If  $P$  is a  $k$ -piece packing of the  $k$ -galaxy  $H$  then there exists a tip  $T$  of  $H$  such that  $V(P) \cap V(T) = \emptyset$ .*  $\square$

The maximal matchings of a hypomatchable graph  $H$  are exactly the perfect matchings of  $H - v$  for the vertices  $v \in V(H)$ . The characterization of the maximal  $k$ -piece packings of a  $k$ -galaxy can be stated by means of the tips.

**Lemma 3.7.** [5] *The maximal  $k$ -piece packings of a  $k$ -galaxy  $H$  are exactly the perfect  $k$ -piece packings of  $H - T$  where  $T$  is a tip of  $H$ .*

*Proof.* By Lemmas 3.5 and 3.6.  $\square$

The next lemma is another generalization of the defining property 2.3 of hypomatchable graphs. This lemma is only implicit in [5].

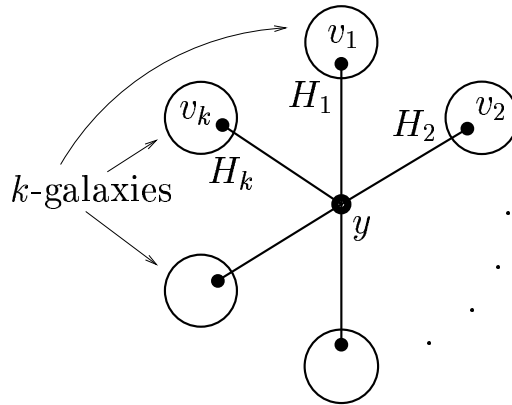
**Lemma 3.8.** *If  $H$  is a  $k$ -galaxy and  $v \in V(H)$  then there exists a vertex set  $v \in X \subseteq V(H)$  such that  $H[X]$  is connected,  $\Delta(H[X]) \leq k - 1$  and  $H - X$  has a perfect  $k$ -piece packing.*

*Proof.* The statement is trivial for  $k = 1$  so assume that  $k \geq 2$ . If  $v$  is contained in a tip  $T$  then let  $X = V(T)$ . Now  $H - X$  has a perfect  $k$ -piece packing by Lemma 3.5 so we are done. If  $v \in V(I_H)$  then let

$$X = \{v\} \cup \bigcup \{V(T) : T \text{ is a tip of } H \text{ adjacent to } v\}.$$

Clearly  $\Delta(H[X]) = k - 1$ . It is easy to check that each component of  $H - X$  is an almost  $k$ -galaxy of type 1 or 2. Hence  $H - X$  has a perfect  $k$ -piece packing by Lemma 3.4.  $\square$

**Definition 3.9.** A connected graph  $G$  is a  $k$ -solar-system (see **Fig. 3**) if it has a vertex  $y$ , called *center*, such that  $\deg_G(y) = k$  and  $G - y$  has  $k$  connected components, each being a  $k$ -galaxy.





### Fig. 3. A $k$ -solar system

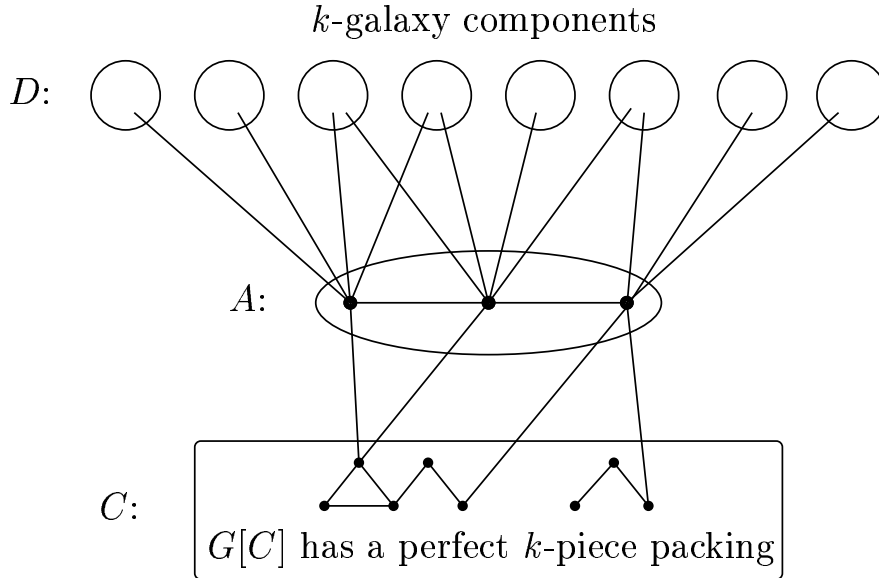
**Lemma 3.10.** *Each  $k$ -solar-system has a perfect  $k$ -piece packing.*

*Proof.* Let  $G$  be a  $k$ -solar-system with center  $y$ . Denote the neighbors of  $y$  by  $v_i$  ( $1 \leq i \leq k$ ) and denote the  $k$ -galaxy component of  $G - y$  containing  $v_i$  by  $H_i$ . Lemma 3.8 implies that for all  $1 \leq i \leq k$  there exists a vertex set  $v_i \in X_i \subseteq V(H_i)$  such that  $H_i - X_i$  has a perfect  $k$ -piece packing and  $H_i[X_i]$  is a connected graph with highest degree at most  $k - 1$ . The latter condition on  $H_i[X_i]$  implies that  $G[\{y\} \cup \bigcup_{1 \leq i \leq k} X_i]$  is a  $k$ -piece.  $\square$

[5] describes a polynomial algorithm finding a maximum  $k$ -piece packing in the input graph  $G$ . The algorithm consists of two phases and already the first phase obtains a maximal  $k$ -piece packing of  $G$  which is further refined in the second phase (called 'Re-Rooting procedure') to become a maximum  $k$ -piece packing. Now we are interested only in the first phase of the algorithm of [5] to which we simply refer as *the algorithm*. This algorithm is a direct generalization of the alternating forest matching algorithm of Edmonds. It builds certain alternating forests and it outputs a decomposition  $V(G) = D \cup A \cup C$  where the sets  $D, A, C$  are pairwise disjoint. It also outputs a maximal  $k$ -piece packing  $P$  of  $G$  but we are not interested in it now. The algorithm may have different runs on the same graph  $G$  depending on the actual implementation. We refer to the outputs of these runs as *decomposition outputs*. In the next section we prove that the decomposition output is unique for all runs of the algorithm and it is *canonical* for the  $k$ -piece packing problem in a certain way. The following proposition is implicit in the description of the algorithm in [5], see **Fig. 4**.

**Proposition 3.11.** [5] *Each run of the algorithm outputs a decomposition  $V(G) = D \cup A \cup C$  where  $D, A, C$  are pairwise disjoint and*

1. *the connected components of  $G[D]$  are  $k$ -galaxies,*
2.  *$G$  contains no edge joining  $D$  to  $C$ ,*
3. *for all  $\emptyset \neq A' \subseteq A$  the number of those  $k$ -galaxy components of  $G[D]$  which are adjacent to  $A'$  is at least  $k|A'| + 1$ ,*
4.  *$G[C]$  has a perfect  $k$ -piece packing.*



**Fig. 4.** A decomposition output of the algorithm,  $k = 2$

Any decomposition output of the algorithm implies the Tutte-type existence theorem 3.13 for the  $k$ -piece packing problem, proved in [5].

**Definition 3.12.** Let  $k\text{-gal}(G)$  denote the number of those connected components of the graph  $G$  that are  $k$ -galaxies.

**Theorem 3.13.** [5] *A graph  $G$  has a perfect  $k$ -piece packing if and only if*

$$k\text{-gal}(G - A) \leq k|A|$$

for all set of vertices  $A \subseteq V(G)$ .

*Proof.* The “only if” part is straightforward using that a  $k$ -galaxy has no  $k$ -piece packing by Lemma 2.5. On the other hand, if  $G$  has no perfect  $k$ -piece packing then  $A$  in any decomposition output of the algorithm will do.  $\square$

## 4 The Edmonds-Gallai decomposition

In this section we prove that the decomposition output is unique for all runs of the algorithm and that this decomposition has the properties described in Theorem 2.8.

**Definition 4.1.** For  $A \subseteq V(G)$  let

$$D^A = \bigcup \{V(H) : H \text{ is a } k\text{-galaxy component of } G - A\}.$$

We use the notation  $D_G^A$  if confusion may arise. Moreover, let  $C^A = V(G) - (D^A \cup A)$  (or  $C_G^A$ ).

**Definition 4.2.** The vertex set  $A \subseteq V(G)$  has *k-surplus* if for all  $\emptyset \neq A' \subseteq A$  the number of *k-galaxy* components of  $G[D^A]$  adjacent to  $A'$  is at least  $k|A'| + 1$ . The vertex set  $A$  is *perfect* if  $C^A$  has a perfect *k-piece* packing.

**Definition 4.3.** We say that a vertex set  $A \subseteq V(G)$  can be *k-matched into*  $X \subseteq V(G) - A$  by  $M$  if  $M$  is a subgraph of  $G$  with  $k|A|$  edges such that  $\deg_M(v) = k$  for all  $v \in A$  and exactly  $k|A|$  connected components of  $G[X]$  are entered by an edge of  $M$  (each by one edge). The vertex set  $A$  can be *k-matched into*  $X \subseteq V(G) - A$  if there exists a subgraph  $M$  of  $G$  such that  $A$  can be *k-matched into*  $X$  by  $M$ .

The following property (in fact, characterization) of the vertex sets with *k-surplus* is implied by Hall's theorem.

**Lemma 4.4.** *If  $A \subseteq V(G)$  has *k-surplus* then  $A$  can be *k-matched into*  $D^A - V(H)$  for each connected component  $H$  of  $G[D^A]$ .*

Using these definitions we can reformulate Proposition 3.11.

**Proposition 4.5.** *For any decomposition output  $V(G) = D \cup A \cup C$  of the algorithm the set  $A$  is perfect with *k-surplus*.*

*Proof.* A *k-galaxy* has no perfect *k-piece* packing so  $D^A = D$  and  $C^A = C$ . So Proposition 3.11, 3. is tantamount to that  $A$  has *k-surplus* and 4. to that  $A$  is perfect.  $\square$

The next lemma describes an important property of the galaxies.

**Lemma 4.6.** *If  $H$  is a *k-galaxy* and  $\emptyset \neq X \subseteq V(H)$  then  $k\text{-gal}(H - X) \leq k|X| - 1$ .*

*Proof.* The statement is well-known for  $k = 1$ . Indeed, otherwise for  $x \in X$  the number of hypomatchable components of  $(H - x) - (X - x)$  is more than  $|X - x|$  implying that  $H - x$  has no perfect matching, a contradiction.

For  $k \geq 2$  it is easier to prove the lemma for a broader set of graphs, called *pseudo galaxies*.

**Definition.** For an integer  $k \geq 2$  the connected graph  $G$  is a *pseudo k-galaxy* if for each  $v \in V(I_G)$  there exist exactly  $k - 1$  edges between  $v$  and  $V(G) - V(I_G)$ , each being a cut edge in  $G$ .

Note, that this is just the definition of the  $k$ -galaxies with the relaxation that the connected components of  $I_G$  need not be hypomatchable. What we actually prove is Lemma 4.7 which immediately implies Lemma 4.6.

**Lemma 4.7.** *If  $G$  is a pseudo  $k$ -galaxy and  $\emptyset \neq X \subseteq V(G)$  is a vertex set with the property that each vertex of  $X \cap V(I_G)$  is contained in a hypomatchable component of  $I_G$  then  $k\text{-gal}(G - X) \leq k|X| - 1$  holds.*

*Proof.* Suppose that  $G$  is a pseudo galaxy of minimum size for which a vertex set  $\emptyset \neq X \subseteq V(G)$  fails Lemma 4.7, ie.  $k\text{-gal}(G - X) \geq k|X|$  holds.  $\deg_G(v) \leq k - 1$  for vertices  $v \notin V(I_G)$  so clearly  $X \cap V(I_G) \neq \emptyset$ .

Let  $F$  be a hypomatchable component of  $I_G$  with  $X_F = X \cap V(F) \neq \emptyset$ . Assume that the number of  $k$ -galaxy components of  $G - X_F$  is  $s$  and denote these components by  $H_1, \dots, H_s$ . It is easy to see that the other components of  $G - X_F$  are pseudo  $k$ -galaxies. Let their number be  $t$  and denote them by  $G_1, \dots, G_t$ . Note that each component  $K$  of  $G - X_F$  satisfies the condition of Lemma 4.7, ie. each vertex of  $(X \cap V(K)) \cap V(I_K)$  is contained in a hypomatchable component of  $I_K$ . Let  $h$  (resp.  $g$ ) denote the number of vertices  $x \in X$  contained in a  $k$ -galaxy (resp. pseudo  $k$ -galaxy) component of  $G - X_F$ . Clearly  $|X| = |X_F| + h + g$ .

Let  $X_i = X \cap G_i$  for  $1 \leq i \leq t$ . By induction,  $k\text{-gal}(G_i - X_i) \leq k|X_i|$  for  $1 \leq i \leq t$  independently of the emptiness of  $X_i$ . So the number of  $k$ -galaxy components of  $G - X$  contained in a component  $G_i$  for  $1 \leq i \leq t$  is at most  $kg$ .

Now we bound  $s$ . Let  $H_i$  be a  $k$ -galaxy component of  $G - X_F$  such that  $Y = V(H_i) \cap V(F) \neq \emptyset$ . It is easy to see that  $F[Y]$  is connected. This implies that  $F[Y]$  is a component of  $I_{H_i}$  so it is hypomatchable. The number of such hypomatchable components  $F[Y]$  is at most  $k|X_F| - 1$  by the already proved case  $k = 1$  of Lemma 4.6. Thus the number of  $k$ -galaxy components of  $G - X_F$  which intersect  $V(F)$  is at most  $k|X_F| - 1$ . On the other hand, the number of components of  $G - X_F$  which do not intersect  $V(F)$  is exactly  $(k - 1)|X_F|$  because each vertex  $v \in X_F \subseteq V(F)$  is incident with exactly  $k - 1$  cut edges in  $G$ . So  $s \leq |X_F| - 1 + (k - 1)|X_F| = k|X_F| - 1$ .

Let  $s'$  be the number of those  $k$ -galaxy components  $H_i$  of  $G - X_F$  for which  $X^i = X \cap V(H_i) \neq \emptyset$ . For such a component  $k\text{-gal}(H_i - X^i) \leq k|X^i| - 1$  holds by the minimality of  $G$ . So these components contain altogether at most  $kh - s'$  of the  $k$ -galaxy components of  $G - X$ . Finally, it is trivial that the number of  $k$ -galaxy components  $H_i$  of  $G - X_F$  for which  $X \cap V(H_i) = \emptyset$

is  $s - s'$ . Summarizing,

$$\begin{aligned} k\text{-gal}(G - X) &\leq kg + (kh - s') + (s - s') \leq k(h + g) + s \\ &\leq k(|X_F| + h + g) - 1 = k|X| - 1. \end{aligned}$$

□

**Theorem 4.8.** *If  $A_1, A_2 \subseteq V(G)$  are perfect vertex sets with  $k$ -surplus then  $A_1 = A_2$ .*

*Proof.* Let  $D_i = D^{A_i}$  and  $C_i = C^{A_i}$  for  $i = 1, 2$ . Denote by  $g_i$  the number of components of  $G[D_i]$  intersecting  $A_{3-i}$  for  $i = 1, 2$ . We prove that  $g_1 = g_2 = 0$ . Suppose that  $g_1 \geq g_2$  and that  $A'_2 = A_2 \cap D_1 \neq \emptyset$ . By the  $k$ -surplus of  $A_2$ , the vertex set  $A'_2$  is adjacent to at least  $k|A'_2| + 1$   $k$ -galaxy components of  $G[D_2]$ . Let  $K$  be a  $k$ -galaxy component of  $G[D_2]$  which is adjacent to  $A'_2$ . If  $V(K) \cap A_1 = \emptyset$  then  $V(K) \subseteq D_1$  because  $A'_2 \subseteq D_1$  so  $K$  is contained in a  $k$ -galaxy component of  $G[D_1]$ . Thus the number of such components  $K$  with  $V(K) \cap A_1 = \emptyset$  is at most  $k|A'_2| - g_1$  by Lemma 4.6. So the number of components of  $G[D_2]$  which are adjacent to  $A'_2$  and intersect  $A_1$  is at least  $g_1 + 1$ . Thus  $g_2 \geq g_1 + 1$ , a contradiction. This implies  $g_1 = g_2 = 0$ .

Suppose that  $A_1 \setminus A_2 \neq \emptyset$ . By the  $k$ -surplus of  $A_1$  the number of components of  $G[D_1]$  which are adjacent to  $A_1 \setminus A_2$  is at least  $k|A_1 \setminus A_2| + 1$ . These components do not intersect  $A_2$  because  $g_1 = 0$ . Hence  $k\text{-gal}(G[C_2] - (A_1 \setminus A_2)) \geq k|A_1 \setminus A_2| + 1$  implying that  $G[C_2]$  has no perfect  $k$ -piece packing by Theorem 3.13, a contradiction.

So  $A_1 \subseteq A_2$  and by symmetry,  $A_1 = A_2$ . □

**Theorem 4.9.** *The decomposition output is unique for all runs of the algorithm.*

*Proof.* Let  $V(G) = D \cup A \cup C$  be any decomposition output of the algorithm. Proposition 4.5 implies that  $A$  is perfect with  $k$ -surplus hence it is unique by Theorem 4.8. Finally, a  $k$ -galaxy has no perfect  $k$ -piece packing so  $D = D^A$  and  $C = C^A$ . □

Hence the following definition is sound:

**Definition 4.10.** The unique decomposition output of the algorithm is denoted by  $V(G) = D_G \cup A_G \cup C_G$  and called the *canonical decomposition* of  $G$  with respect to the  $k$ -piece packing problem.

Proposition 4.5 and Theorem 4.8 imply

**Corollary 4.11.** *If  $A \subseteq V(G)$  is perfect and has  $k$ -surplus then  $A = A_G$ .*

Now we investigate the structure of *maximal*  $k$ -piece packings of  $G$ .

**Lemma 4.12.** *Each maximal  $k$ -piece packing  $P$  of  $G$  has the following structure:*

1. *exactly  $k|A_G|$  connected components of  $G[D_G]$  are entered by an edge of  $P$  and these components are completely covered by  $P$ ,*
2. *if  $H$  is a component of  $G[D]$  not entered by  $P$  then  $P[H]$  is a maximal  $k$ -piece packing of  $H$ , ie. there exists a tip  $T$  of  $H$  such that  $P[H]$  is a perfect  $k$ -piece packing of  $H - T$ , and*
3.  *$P[C_G]$  is a perfect  $k$ -piece packing of  $G[C_G]$ .*

*Proof.* Let  $P$  be a maximal  $k$ -piece packing of  $G$ . We construct a  $k$ -piece packing  $P'$  with  $V(P') \supseteq V(P)$  such that if  $P$  fails any of properties 1.-3. then  $V(P') \supsetneq V(P)$  would hold. We need the theorem of Mendelsohn and Dulmage (see 1.4.3 in [11]).

**Theorem 4.13. (Mendelsohn, Dulmage)** *Let  $B$  be a bipartite graph with color classes  $U$  and  $V$ . If  $B$  has a matching covering  $U' \subseteq U$  and another matching covering  $V' \subseteq V$  then it has a matching covering  $U' \cup V'$ .*

We apply Theorem 4.13 to the bipartite graph  $B_A$  defined as follows.

**Definition 4.14.** We denote  $kA_G = \{v^i : v \in A_G, 1 \leq i \leq k\}$ . Let  $V(B_A) = kA_G \cup \{H : H \text{ is a component of } G[D_G]\}$  and  $E(B_A) = \{v^i H : 1 \leq i \leq k, v \text{ is adjacent to } H \text{ in } G\}$ .

$B_A$  has a matching covering  $kA_G$  by the  $k$ -surplus of  $A_G$ . Moreover,  $P$  shows that  $B_A$  has a matching covering  $\mathcal{H}_P = \{H : H \text{ is a component of } G[D_G] \text{ entered by an edge of } P\}$ . So Theorem 4.13 implies that  $B_A$  has a matching  $M$  with vertex set  $kA_G \cup \mathcal{H}_M$  where  $\mathcal{H}_P \subseteq \mathcal{H}_M$ . Using Lemma 3.10, this matching gives rise to a perfect  $k$ -piece packing  $P_1$  in the subgraph induced by

$$A_G \cup \bigcup \{V(H) : H \in \mathcal{H}_M\}.$$

Let  $H$  be a component of  $G[D_G]$  such that  $H \notin \mathcal{H}_M$ . By Lemma 3.6 there exists a tip  $T$  of  $H$  such that  $V(P) \cap V(T) = \emptyset$ . Take a perfect  $k$ -piece

packing of  $H - T$  guaranteed by Lemma 3.5 and denote the union of these  $k$ -pieces by  $P_2$ . Finally, let  $P_3$  be a perfect  $k$ -piece packing of  $G[C_G]$ . With  $P' = P_1 \cup P_2 \cup P_3$  we get that  $V(P') \supseteq V(P)$ .

Trivially  $|\mathcal{H}_P| \leq k|A_G|$ . In fact,  $|\mathcal{H}_P| = k|A_G|$  holds here because otherwise the matching  $M$  of  $B_A$  would enter strictly more components of  $G[D_G]$  than  $P$ , resulting in  $V(P') \supsetneq V(P)$ , a contradiction. Properties 1. and 2. are straightforward by the maximality of  $P$  and by Lemmas 3.7 and 3.10. For 3. observe that  $P$  has no edge joining  $A_G$  to  $C_G$  because otherwise  $|\mathcal{H}_P| < k|A_G|$  would hold.  $\square$

Observe that Lemma 4.12 holds also by replacing  $A_G$  by  $A$ ,  $D_G$  by  $D^A$  and  $C_G$  by  $C^A$  where  $A \subseteq V(G)$  is a perfect vertex set which can be  $k$ -matched into  $D^A$ . This observation will be needed in the proof of Theorem 4.19.

**Lemma 4.15.** *If  $P$  is a  $k$ -piece packing satisfying properties 1., 2. and 3. of Lemma 4.12 then  $P$  is maximal.*

*Proof.* Properties 1., 2. and 3. imply that  $c(G - P) = c(G[D_G]) - k|A_G|$  and that each component of  $G - P$  is a tip of some galaxy component of  $G[D_G]$ . Let  $\mathcal{H}_P = \{H : H \text{ is a component of } G[D_G] \text{ entered by an edge of } P\}$ . Suppose that  $P'$  is a  $k$ -piece packing covering  $V(P)$  and one more vertex  $v \notin V(P)$ . Now  $v$  is contained in a tip of a galaxy  $H \notin \mathcal{H}_P$ . So Property 2. implies that  $P'$  intersects each tip of  $H$  thus  $P'$  enters  $H$  by Lemma 3.6. Moreover,  $P'$  enters each component in  $\mathcal{H}_P$  by Lemma 3.6. So  $P'$  enters at least  $k|A_G| + 1$  components of  $G[D_G]$  which is impossible because  $\deg_{P'}(v) \leq k$  for  $v \in A_G$ .  $\square$

For characterizing  $D_G$  in the canonical decomposition first we need to characterize the union of the vertex sets of tips in  $G[D_G]$ . Recall that  $U_G$  was introduced in Definition 2.7.

**Definition 4.16.** Let  $W_G = \bigcup \{W_H : H \text{ is a } k\text{-galaxy component of } G[D_G]\}$ .

**Lemma 4.17.**  $W_G = U_G$ .

*Proof.* Lemma 4.12 implies that  $U_G \subseteq W_G$ . On the other hand, let  $v \in W_G$  be a vertex contained in a tip  $T$  of a  $k$ -galaxy component  $H_0$  of  $G[D_G]$ .  $A_G$  has  $k$ -surplus so  $A_G$  can be  $k$ -matched into  $D_G - V(H_0)$  by a subgraph  $M$  of  $G$ . Let  $\mathcal{H}_M = \{H : H \text{ is a component of } G[D_G] \text{ entered by an edge of } M\}$ . Using Lemma 3.10,  $M$  gives rise to a perfect  $k$ -piece packing  $P_1$  in the subgraph

induced by  $A_G \cup \bigcup \{V(H) : H \in \mathcal{H}_M\}$ . By Lemma 3.7, for each component  $H \notin \mathcal{H}_M$  of  $G[D_G]$  we can take a perfect  $k$ -piece packing of  $H - T_H$  where  $T_H$  is any tip of  $H$ . Take care to choose  $T_{H_0} = T$ . The union of these  $k$ -pieces is denoted by  $P_2$ . Finally, let  $P_3$  be a perfect  $k$ -piece packing of  $G[C_G]$ . By Lemma 4.15, the  $k$ -piece packing  $P_1 \cup P_2 \cup P_3$  is maximal and it misses  $v \in W_G$ .  $\square$

In the matching case (ie. in the case  $k = 1$ ) it holds that  $W_G = D_G$  thus Lemma 4.17 itself characterizes the canonical  $D_G$ . In the general case only  $W_G \subseteq D_G$  holds so we have to go one step further in order to characterize  $D_G$  in Theorem 4.19. First we need the following lemma.

**Lemma 4.18.** *If  $H$  is a  $k$ -galaxy and  $v \in V(H)$  then each component of  $H - v$  is either a  $k$ -galaxy or has a perfect  $k$ -piece packing. Moreover,*

$$\bigcup \{W_K : K \text{ is a } k\text{-galaxy component of } H - v\} \subsetneq W_H.$$

*Proof.* The statement is well-known for  $k = 1$  so assume  $k \geq 2$ . If  $v$  is contained in a tip then clearly each component of  $H - v$  is either a  $k$ -galaxy or an almost  $k$ -galaxy of type 2. Each almost  $k$ -galaxy component has a perfect  $k$ -piece packing by Lemma 3.4. Furthermore,

$$\bigcup \{V(T) : T \text{ is a tip in a component of } H - v\} = W_H - v$$

so we are done. If  $v \in I_H$  then  $H - v$  consists of  $k$ -galaxy components (the number of which is exactly  $k - 1$ ), and almost galaxy components of type 1, the number of which is at least 1. Each almost  $k$ -galaxy component has a perfect  $k$ -piece packing by Lemma 3.4. Moreover,

$$\bigcup \{V(T) : T \text{ is a tip in a component of } H - v\} = W_H,$$

but each almost galaxy component contains at least one tip of  $H$ , yielding that

$$\bigcup \{V(T) : T \text{ is a tip in an almost } k\text{-galaxy component of } H - v\} \neq \emptyset.$$

$\square$

**Theorem 4.19.**  $D_G = \{v : U_{G-v} \subsetneq U_G\} = \{v : |U_{G-v}| < |U_G|\}$  holds for all graphs  $G$ .



*Proof.* We investigate the canonical decomposition of the graph  $G - v$ .

1. Let  $v \in C_G$ . Denote the graph  $G[C_G - v]$  by  $G'$ . Observe that in the graph  $G - v$  the set  $A_G \cup A_{G'}$  is perfect with  $k$ -surplus. So  $A_{G-v} = A_G \cup A_{G'}$  by Corollary 4.11, yielding that  $W_{G-v} \supseteq W_G$ , ie.  $U_{G-v} \supseteq U_G$  by Lemma 4.17.
2. Let  $v \in A_G$ . In the graph  $G - v$  the set  $A_G - v$  is perfect with  $k$ -surplus so  $A_{G-v} = A_G - v$  by Corollary 4.11. Hence  $W_{G-v} = W_G$  or equivalently,  $U_{G-v} = U_G$  by Lemma 4.17.
3. Finally, suppose that  $v \in V(H)$  for a  $k$ -galaxy component  $H$  of  $G[D_G]$ .  $\emptyset$  is perfect and has  $k$ -surplus in the graph  $H - v$  by Lemma 4.18 so  $A_{H-v} = \emptyset$  by Corollary 4.11, yielding that

$$D_{H-v} = \{V(K) : K \text{ is a } k\text{-galaxy component of } H - v\} \text{ and}$$

$$C_{H-v} = \{V(K) : K \text{ comp. of } H - v \text{ with a perfect } k\text{-piece packing}\}.$$

Let  $D' = D_{A_G}^{G-v} = (D_G \setminus V(H)) \cup D_{H-v}$ ,  $C' = C_{A_G}^{G-v} = C_G \cup C_{H-v}$  and  $W' = \{V(T) : T \text{ is a tip in a component of } G[D']\}$ . Lemma 4.18 implies that  $W' \subsetneq W_G$ . In the graph  $G - v$  the set  $A_G$  is perfect because  $G[C']$  has a perfect  $k$ -piece packing. Moreover,  $A_G$  can be  $k$ -matched into  $D'$  in  $G - v$  because  $A_G$  has  $k$ -surplus in  $G$ . So the statement of Lemma 4.12 holds for  $A_G$  in the graph  $G - v$ , as we mentioned after the proof of 4.12. This especially implies that each maximal  $k$ -piece packing of  $G - v$  misses only vertices in  $W'$ . So  $U_{G-v} \subseteq W' \subsetneq W_G = U_G$  and we are done.

□

At this point the proof of Theorem 2.8 is straightforward using the results of this section.

*Proof of Theorem 2.8.*  $D = D_G$ ,  $A = A_G$  and  $C = C_G$  by Theorem 4.19. Now Property 1. holds by definition.  $A_G$  is perfect with  $k$ -surplus which is just tantamount to Properties 2. and 3. Property 4. is equivalent to Lemmas 4.12 and 4.15. Finally, 5. follows from Property 4. □

By Theorem 2.8 the graph  $G$  has a canonical decomposition  $V(G) = D_k \cup A_k \cup C_k$  for each  $k \geq 1$ . Here  $D_1 \cup A_1 \cup C_1$  is the classical Edmonds-Gallai decomposition. Observe that  $A_k = C_k = \emptyset$  if  $k \geq \Delta(G) + 1$  and  $D_k = A_k = \emptyset$  if  $k = \Delta(G)$ . Nevertheless, there does not seem to be any nice relation between the decompositions for different  $k$ 's.

## 5 The calculus of barriers

In this section we prove some properties of *barriers* which we define to be those vertex sets  $A$  which maximize  $k\text{-gal}(G - A) - k|A|$ . Not all of the following results generalize the theory of barriers described by Lovász and Plummer [11] because they count the odd size components instead of the hypomatchable components as we do.

**Definition 5.1.** For  $A \subseteq V(G)$  the *deficiency* of  $A$  is  $\text{def}(A) = k\text{-gal}(G - A) - k|A|$ . The *deficiency* of  $G$  is

$$\text{def}(G) = \max\{\text{def}(A) : A \subseteq V(G)\}.$$

Finally,  $A \subseteq V(G)$  is a *barrier* if  $\text{def}(A) = \text{def}(G)$ .

Theorem 3.13 is tantamount to saying that  $G$  has a perfect  $k$ -piece packing if and only if  $\text{def}(G) = 0$ . In this case  $\emptyset$  is a barrier with deficiency 0.

**Proposition 5.2.**  $A_G$  is a barrier of  $G$ .

*Proof.* Let  $P$  be a maximal  $k$ -piece packing of  $G$ . Lemma 4.12 implies that  $c(G - P) = k\text{-gal}(G - A_G) - k|A_G| = \text{def}(A_G)$ . On the other hand, let  $A$  be a barrier of  $G$ . The number of components of  $G[D^A]$  which are not entered by  $P$  is clearly at least  $k\text{-gal}(G - A) - k|A| = \text{def}(A)$ . Thus  $c(G - P) \geq \text{def}(A)$  by Lemma 2.5. This implies that  $\text{def}(A_G) \geq \text{def}(A)$  and so that  $A_G$  is a barrier.  $\square$

In the matching case (ie. when  $k = 1$ ) each maximum (and so each maximal) matching misses  $\text{def}(G)$  vertices of  $G$ . This property fails for general  $k$  because a maximal  $k$ -piece packing of a galaxy may miss an arbitrary number of vertices instead of only one (namely, the vertices of a tip). What is salvaged, is that  $c(G - P) = \text{def}(G)$  for each maximal  $k$ -piece packing  $P$  by Lemma 4.12 and Proposition 5.2.

**Lemma 5.3.** *Each barrier is perfect.*

*Proof.* Let  $A$  be a barrier of  $G$ . Assume that  $G[C^A]$  has no perfect  $k$ -piece packing. Then by Theorem 3.13 there exists a set  $X \subseteq C^A$  such that  $k\text{-gal}(G[C^A] - X) - k|X| > 0$ . But then  $\text{def}(A \cup X) > \text{def}(G)$  would hold, a contradiction.  $\square$

**Theorem 5.4.** *If  $A$  is a barrier then  $A_G \subseteq A$  and  $D_G \subseteq D^A$ .*

*Proof.* Let  $A$  be a barrier of  $G$  and let  $\mathcal{H} = \{H : H \text{ is a component of } G[D^A]\}$ . For  $\mathcal{J} \subseteq \mathcal{H}$  let

$$\Gamma(\mathcal{J}) = \left\{ v \in A : v \text{ is adjacent to } \bigcup \{V(H) : H \in \mathcal{J}\} \right\}.$$

Consider the following function  $f$  on  $\mathcal{H}$ : for  $\mathcal{J} \subseteq \mathcal{H}$  let  $f(\mathcal{J}) = |\mathcal{J}| - k|\Gamma(\mathcal{J})|$ . Clearly  $f(\mathcal{J}) \leq \text{def}(G)$  for  $\mathcal{J} \subseteq \mathcal{H}$  and  $f$  is a supermodular function. Suppose that  $f(\mathcal{J}_1) = f(\mathcal{J}_2) = \text{def}(G)$  for  $\mathcal{J}_1, \mathcal{J}_2 \subseteq \mathcal{H}$ . Now  $2 \cdot \text{def}(G) = f(\mathcal{J}_1) + f(\mathcal{J}_2) \leq f(\mathcal{J}_1 \cap \mathcal{J}_2) + f(\mathcal{J}_1 \cup \mathcal{J}_2) \leq 2 \cdot \text{def}(G)$  implying that  $f(\mathcal{J}_1 \cap \mathcal{J}_2) = \text{def}(G)$ .  $f(\mathcal{H}) = \text{def}(G)$  thus there exists an inclusion-wise minimum set  $\mathcal{H}_0 \subseteq \mathcal{H}$  with  $f(\mathcal{H}_0) = \text{def}(G)$ . Let  $A_0 = \Gamma(\mathcal{H}_0)$ . The set  $A_0$  has  $k$ -surplus because  $\mathcal{H}_0$  is minimum.

Let  $D' = \bigcup \{V(H) : H \in \mathcal{H} - \mathcal{H}_0\}$ . We state that  $A - A_0$  can be  $k$ -matched into  $D'$  by a subgraph  $M$  of  $G$ . This is due to Hall's theorem: if  $Y \subseteq A - A_0$  was adjacent to less than  $k|Y|$  components of  $G[D']$  then  $\text{def}(A - Y) > \text{def}(A) = \text{def}(G)$  would hold because  $Y$  is not adjacent to any component  $H \in \mathcal{H}_0$ . Moreover,  $k|A - A_0| = |\mathcal{H} - \mathcal{H}_0|$  so  $M$  gives rise to a perfect  $k$ -piece packing in  $D' \cup (A - A_0)$  using Lemma 3.10. Moreover, by Lemma 5.3,  $G[C_A]$  has a perfect  $k$ -piece packing so  $A_0$  is perfect.

Summarizing,  $A_0$  is perfect with  $k$ -surplus so  $A_G = A_0 \subseteq A$  by Corollary 4.11. Moreover, clearly  $D_G = D^{A_0} = D^A - D'$ .  $\square$

Note that in this proof,  $A - A_0$  is adjacent to at most  $k|A - A_0|$  components in  $G[D^A]$  hence if  $A$  has  $k$ -surplus then  $A - A_0 = \emptyset$ . This implies that  $A_G$  is the only barrier with  $k$ -surplus.

**Theorem 5.5.** *The intersection of two barriers is a barrier.*

*Proof.* Let  $A_1, A_2$  be barriers of  $G$ . We let  $D_i = D^{A_i}$  and  $C_i = C^{A_i}$  for  $i = 1, 2$ . Denote by  $g_i$  the number of components of  $G[D_i]$  intersecting  $A_{3-i}$ . Wlog. we may assume that  $g_1 \leq g_2$ . Furthermore,

- $g_C$  is the number of components of  $G[D_1]$  contained in  $C_2$ ,
- $g_D$  is the number of components of  $G[D_1]$  contained in  $D_2$  and not adjacent to  $A_1 \cap D_2$ ,
- $g'_D$  is the number of components of  $G[D_1]$  contained in  $D_2$  and adjacent to  $A_1 \cap D_2$ .

Now

$$k|A_1| + \text{def}(G) = k\text{-gal}(G - A_1) = g_C + g_1 + g_D + g'_D.$$

The graph  $G[C_2]$  has a perfect  $k$ -piece packing by Lemma 5.3 so

$$g_C \leq k|A_1 \cap C_2|.$$

The components of  $G[D_1]$  which are contained in  $D_2$  but which are not adjacent to  $A_1 \cap D_2$  are connected components of  $G - (A_1 \cap A_2)$  as well so

$$g_D \leq k\text{-gal}(G - (A_1 \cap A_2)).$$

Each component of  $G[D_1]$  which is contained in  $D_2$  and which is adjacent to  $A_1 \cap D_2$  is contained in some component  $H$  of  $G[D_2]$ . The number of such components  $H$  was denoted by  $g_2$ . Hence Lemma 4.6 implies that

$$g'_D \leq k|A_1 \cap D_2| - g_2.$$

Summarizing,

$$\begin{aligned} k|A_1| + \text{def}(G) &\leq k|A_1 \cap C_2| + k|A_1 \cap D_2| + g_1 - g_2 + k\text{-gal}(G - (A_1 \cap A_2)) \leq \\ &\leq k|A_1| + k\text{-gal}(G - (A_1 \cap A_2)) - k|A_1 \cap A_2|. \end{aligned}$$

So  $\text{def}(G) \leq \text{def}(A_1 \cap A_2)$ , ie.  $A_1 \cap A_2$  is a barrier.  $\square$

**Theorem 5.6.** *If  $A_1$  and  $A_2$  are barriers such that there is no edge between  $A_1 \cap D^{A_2}$  and  $A_2 \cap D^{A_1}$  then  $A_1 \cup A_2$  is a barrier.*

*Proof.* Let  $D_i = D^{A_i}$  and  $C_i = C^{A_i}$  for  $i = 1, 2$ . We prove that  $A_1 \cap D_2$  and  $A_2 \cap D_1$  are empty. Assume that  $A_1 \cap D_2 \neq \emptyset$  and let  $K$  be a component of  $G[D_2]$  such that  $X = A_1 \cap V(K) \neq \emptyset$ .  $X \subseteq A_1$  is adjacent to at least  $k|X|$  components of  $G[D_1]$  since otherwise  $\text{def}(A_1 - X) > \text{def}(G)$  would hold. Let  $v \in D_1$  be a vertex adjacent to  $x \in X$ .  $v \notin C_2$  since  $G$  contains no edge between  $D_2$  and  $C_2$ .  $v \notin A_2$  either by the condition of the theorem. Hence

$v$  is contained in the same component of  $G[D_2]$  than  $x$ , ie.  $v \in V(K)$ . But then Lemma 4.6 implies that  $X$  can have at most  $k|X| - 1$  neighbors among the components of  $G[D_1]$ , a contradiction.

So  $A_1 \cap D_2 = \emptyset$  and by symmetry  $A_2 \cap D_1 = \emptyset$ . Let

- $g_C^1$  be the number of components of  $G[D_1]$  contained in  $C_2$ ,
- $g_C^2$  be the number of components of  $G[D_2]$  contained in  $C_1$  and
- $g_D = c(G[D_1 \cap D_2])$ .

Clearly

$$\begin{aligned} k \cdot |A_1| + \text{def}(G) &= k\text{-gal}(G - A_1) = g_C^1 + g_D, \\ k \cdot |A_2| + \text{def}(G) &= k\text{-gal}(G - A_2) = g_C^2 + g_D \quad \text{and} \\ k \cdot |A_1 \cap A_2| + \text{def}(G) &= k\text{-gal}(G - (A_1 \cap A_2)) \geq g_D. \end{aligned}$$

These inequalities sum up to  $g_D + g_C^1 + g_C^2 \geq k \cdot |A_1 \cup A_2| + \text{def}(G)$ . It is easy to see that  $k\text{-gal}(G - (A_1 \cup A_2)) \geq g_D + g_C^1 + g_C^2$  and so  $A_1 \cup A_2$  is a barrier.  $\square$

Theorem 5.6 fails for arbitrary barriers. For example, let  $k = 2$  and  $P_3$  be the path of length 3 with vertices  $v_1, v_2, v_3, v_4$  in this order.  $P_3$  has a perfect 2-piece packing so  $C_{P_3} = V(P_3)$ . The barriers of  $P_3$  are  $A_{P_3} = \emptyset, \{v_2\}$  and  $\{v_3\}$  but  $\{v_2, v_3\}$  is not a barrier.

In the matching theory, the deficiency is usually defined as  $q(G - A) - |A|$  where  $q(G - A)$  is the number of odd size components of  $G - A$ . For this 'odd-deficiency' it holds that  $A_G \cup C_G$  is the union of inclusion-wise maximal barriers. This property fails for our deficiency, see  $P_3$  defined in the previous paragraph.

For the odd-deficiency it also holds that  $A_G$  is the intersection of the inclusion-wise maximal barriers. This property fails in our case as well. For example, let  $P_2$  be the path of length 2 with vertices  $v_1, v_2, v_3$  in this order.  $P_2$  has a perfect 2-piece packing and its barriers are  $A_{P_2} = \emptyset$  and  $\{v_2\}$ .

Nevertheless, Theorem 5.5 fails for the classical odd deficiency.

## 6 Two more properties of galaxies

First we show a characterization of  $k$ -galaxies which is a direct generalization of the defining property 2.3 of the hypomatchable graphs.

**Theorem 6.1.** *A graph  $G$  satisfies properties 1. and 2. if and only if  $G$  is a  $k$ -galaxy.*

1.  $G$  has no perfect  $k$ -piece packing.
2. For each  $v \in V(G)$  there exists a vertex set  $v \in X \subseteq V(G)$  such that  $G[X]$  is connected,  $\Delta(G[X]) \leq k - 1$  and  $G - X$  has a perfect  $k$ -piece packing.

*Proof.* If  $G$  is a  $k$ -galaxy then 1. follows from Lemma 2.5 and 2. from Lemma 3.8.

For the reverse direction, suppose that  $G$  satisfies the above two properties. First, if  $A_G = \emptyset$  then either  $C_G = V(G)$  which contradicts to 1. by Theorem 2.8 property 3., or  $D_G = V(G)$ . In this latter case each component of  $G$  is a  $k$ -galaxy. However,  $G$  cannot have more than one component since then 2. would yield a perfect  $k$ -piece packing of  $G$  contradicting to 1. Second, assume that  $A_G \neq \emptyset$ . Choose a vertex  $v \in A_G$  and let  $X$  be the vertex set guaranteed by 2. Now  $\deg_{G[X]}(v) \leq k - 1$  since  $\Delta(G[X]) \leq k - 1$ . Adjoin  $k - \deg_{G[X]}(v)$  new isolated vertices to  $G$  and join each new vertex to  $v$  by an edge. The new graph is denoted by  $G'$ . Now  $X$  and the set of new vertices induce a  $k$ -piece in  $G'$ . This  $k$ -piece together with the perfect  $k$ -piece packing of  $G - X$  gives a perfect  $k$ -piece packing of  $G'$ . However,  $k\text{-gal}(G' - A_G) \geq k|A_G| + 1$  by Theorem 2.8, property 2., which is a contradiction by Theorem 3.13.  $\square$

In the case  $k = 1$  Theorem 6.1 2. is equivalent to the defining property 2.3 of hypomatchable graphs. This implies property 1. as well by parity arguments when  $k = 1$ . However, parity has no consequence in the case  $k \geq 2$ . Another easy characterization of galaxies is the following corollary of Theorem 4.19.

**Proposition 6.2.** *The following statements are equivalent for a connected graph  $G$ .*

1.  $G$  is a  $k$ -galaxy.
2.  $|U_{G-v}| < |U_G|$  for all  $v \in V(G)$ .
3.  $U_{G-v} \subsetneq U_G$  for all  $v \in V(G)$ .

*Proof.* 1.  $\Rightarrow$  2. and 1.  $\Rightarrow$  3.:  $\emptyset$  is a perfect set with  $k$ -surplus so  $A_G = \emptyset$  by Corollary 4.11. So  $D_G = V(G)$  and both 2. and 3. are implied by Theorem 4.19.

2.  $\Rightarrow$  1. and 3.  $\Rightarrow$  1.: Theorem 2.8 yields that  $D_G = V(G)$  hence  $G$  is a  $k$ -galaxy by Theorem 2.8 property 1. and by the connectivity of  $G$ .  $\square$

## 7 The matroidal property and maximum packings

**Definition 7.1.** We say that the  $\mathcal{F}$ -packing problem is *matroidal* if for all graphs  $G$  those vertex sets  $X \subseteq V(G)$  which can be covered by an  $\mathcal{F}$ -packing of  $G$  form a matroid.

Loebl and Poljak conjecture [9] that for graph sets  $\mathcal{F}$  with  $K_2 \in \mathcal{F}$  the  $\mathcal{F}$ -packing problem is polynomial if and only if it is matroidal. This conjecture is still open. In [5] it was shown that the  $k$ -piece packing problem is not matroidal in the case  $k \geq 2$ . For an example, let  $k = 2$  and  $G$  be a claw (ie. a 3-star) with one of its edges subdivided by a new vertex. Still, the  $k$ -piece packing problem has the matroidal property in a somewhat weaker form. So Theorem 2.9 gives another support for the validity of the conjecture of Loebl and Poljak.

**Theorem. 2.9.** *There exists a partition  $\pi$  on  $V(G)$  and a matroid  $\mathcal{M}$  on  $\pi$  such that the vertex sets of the maximal  $k$ -piece packings are exactly the vertex sets of the form  $\bigcup\{X : X \in \pi'\}$  where  $\pi'$  is a base of  $\mathcal{M}$ .*

*Proof.* Lemmas 4.12, 4.15 and the  $k$ -surplus of  $A_G$  imply that the following considerations hold.

$$\pi = \{\{v\} : v \notin W_G\} \cup \{V(T) : T \text{ is a tip of a } k\text{-galaxy component of } G[D_G]\}.$$

Denote by  $\mathcal{N}$  the matroid with ground set  $\mathcal{H} = \{H : H \text{ is a component of } G[D_G]\}$  such that a set  $\mathcal{H}' \subseteq \mathcal{H}$  of size  $k|A_G|$  is a base in  $\mathcal{N}$  if and only if  $A_G$  can be  $k$ -matched into  $\bigcup\{V(H) : H \in \mathcal{H}'\}$ . Observe that  $\mathcal{N}$  is indeed a matroid, it is the transversal matroid of the bipartite graph  $B_A$ , see Definition 4.14. Now for each component  $H$  of  $G[D_G]$  replace  $H$  in  $\mathcal{N}$  by  $\mathcal{T}_H = \{V(T) : T \text{ is a tip of } H\} \subseteq \pi$  such that the elements of  $\mathcal{T}_H$  are in series with each other. The resulting matroid is  $\mathcal{N}'$  with ground set  $\{V(T) : T \text{ is a tip of a } k\text{-galaxy component of } G[D_G]\} \subseteq \pi$ . Add as a direct sum to  $\mathcal{N}'$  the elements  $\{v\}$  as a bridge for  $v \notin W_G$ . The resulting matroid is  $\mathcal{M}$ .  $\square$

The co-rank of  $\mathcal{N}$  and  $\mathcal{N}'$  are  $\text{def}(G)$  thus the co-rank of  $\mathcal{M}$  is  $\text{def}(G)$  too. Note that for each maximal  $k$ -piece packing  $P$  of  $G$ , every vertex set of  $\pi$  is either fully covered or fully missed by  $P$  and the number of the fully missed sets is  $\text{def}(G)$ . In the case  $k = 1$  a tip has exactly one element so  $\pi$  is the partition into singletons. In the case  $k = 2$  a tip has one or two elements so the vertex sets of  $\pi$  are of size one or two. Finally, for  $k \geq 3$  a tip may be of arbitrary size thus a vertex set of  $\pi$  can be of arbitrary size as well.

Because the ground set of the matroid  $\mathcal{M}$  is a partition into different size sets, in the  $k$ -piece packing problem a *maximal* packing is not necessarily *maximum*, as it is the case in the polynomial packing problems with  $K_2 \in \mathcal{F}$ . Still, the vertex sets which can be covered by maximum  $k$ -piece packings admit a similar matroid: take the maximum weight bases of  $\mathcal{M}$  with the weight function  $X \mapsto |X|$  for  $X \in \pi$ . This weighted matroidal approach yields a proof for the Berge-type formula of [5] on the size of a maximum  $k$ -piece packing. Indeed, the maximum weight bases of  $\mathcal{M}$  correspond to the minimum weight bases of  $\mathcal{N}$  (defined in the proof of Theorem 2.9) with the weight function  $H \mapsto$  (the minimum size of a tip of  $H$ ). So one can apply the greedy method to the  $k$ -galaxy components of  $G[D_G]$ . In fact, a little additional work is needed for proving Theorem 7.2 since it is stated in a more compact form in [5]. Let  $k\text{-gal}_i(G)$  denote the number of  $k$ -galaxy components  $H$  of the graph  $G$  with the property that each tip of  $H$  has size at least  $i$ .

**Theorem 7.2.** [5] *If  $G$  is a graph of size  $n$  then the size of the maximum  $k$ -piece packings of  $G$  is*

$$n - \max \sum_{i=1}^n (k\text{-gal}_i(G - A_i) - k|A_i|),$$

*taken over all sequences of vertex sets  $V(G) \supseteq A_1 \supseteq A_2 \supseteq \dots \supseteq A_n$ .*

$A_1$  can be chosen to be the canonical barrier  $A_G$ . The sequence of vertex sets is related to the structure of the minimum weight bases of the transversal matroid  $\mathcal{N}$ . We do not go into details. In the case  $k = 1$  we get the Berge-Tutte theorem on maximum matchings [1]. The case  $k = 2$  was proved by Kano, Katona and Király [8].



## 8 The $(l, u)$ -piece packing problem

As a generalization of the  $k$ -piece packing problem, the  $(l, u)$ -piece packing problem is introduced in [5]. It turns out that all the above results hold with the straightforward modifications. We do not go into details, only illustrate this relation using the reduction to the  $k$ -piece packing problem shown in [5].

Let two integer bounds  $u(v) \geq l(v) \geq 0$  be given for each vertex  $v \in V(G)$ . A connected subgraph  $P$  of  $G$  is an  $(l, u)$ -piece if  $\deg_P(v) \leq u(v)$  holds for each  $v \in V(P)$  and there exists at least one vertex  $w \in V(P)$  with  $\deg_P(w) \geq l(w)$ . Note that  $l \equiv u \equiv k$  gives the  $k$ -piece packing problem. Galaxies and tips change in the following way.

**Definition 8.1.** Given the bounds  $l, u : V(H) \rightarrow \mathbb{N}$ , the graph  $H$  is an  $(l, u)$ -galaxy if it satisfies the following properties:

- denoting by  $I_H$  the graph induced by the vertices  $v$  with  $\deg_G(v) \geq l(v)$ , each component of  $I_H$  is a hypomatchable graph,
- $l(v) = u(v) \geq 1$  for  $v \in V(I_H)$ ,
- for each  $v \in V(I_H)$  there exist exactly  $l(v) - 1$  edges between  $v$  and  $V(H) - V(I_H)$ , each being a cut edge in  $H$ .

The *tips* are the connected components of  $H - V(I_H)$  together with the vertices  $v \in V(I_H)$  with  $l(v) = u(v) = 1$  as single vertex subgraphs.

The difference in the definition of the galaxies and tips can be explained by the following reduction to the  $k$ -piece packing problem, described in [5]. Let  $k = 1 + \max\{u(v) : v \in V(G)\}$ . For each vertex  $v \in V(G)$  let  $M_v$  and  $N_v$  be disjoint sets of new vertices with  $|M_v| = u(v) - l(v) + 1$  and  $|N_v| = k - u(v) - 1$ . Now for each  $v \in V(G)$  take a complete graph on  $M_v$  and join the vertices of  $M_v \cup N_v$  to  $v$ . Denote the new graph by  $G_k$ . It is easy to see that  $G_k$  has a perfect  $k$ -piece packing if and only if  $G$  has a perfect  $(l, u)$ -piece packing, and that  $G$  is an  $(l, u)$ -galaxy if and only if  $G_k$  is a  $k$ -galaxy. With the help of this reduction one can see that all the above considerations for the  $k$ -piece packings hold for the  $(l, u)$ -piece packings as well, with the necessary modifications. For illustrating this, we briefly describe how to get the canonical decomposition of  $G$  related to the  $(l, u)$ -piece packing problem.

Let  $V(G_k) = D_k \dot{\cup} A_k \dot{\cup} C_k$  be the canonical decomposition of  $G_k$  related to the  $k$ -piece packing problem. Due to the  $k$ -surplus of  $A_k$ , each vertex of

$A_k$  has degree at least  $k + 1$  in  $G_k$ . Because the new vertices of  $G_k$  (ie. the vertices in  $V(G_k) - V(G)$ ) have degree at most  $u(v) - l(v) + 1 \leq k$ , we get that  $A_k \subseteq V(G)$ . So the deletion of the new vertices yields a partition  $V(G) = D \dot{\cup} A \dot{\cup} C$  where  $D = D_k \cap V(G)$ ,  $A = A_k$  and  $C = C_k \cap V(G)$ . This canonical partition has all the properties listed in Theorem 2.8, for example the connected components of  $G[D]$  are  $(l, u)$ -galaxies, for all  $\emptyset \neq A' \subseteq A$  the number of those  $(l, u)$ -galaxy components of  $G[D]$  which are adjacent to  $A'$  is at least  $u(A') + 1$ , and  $C$  has a perfect  $(l, u)$ -piece packing. This partition is unique, because if  $V(G) = D' \dot{\cup} A' \dot{\cup} C'$  is another partition with these properties then in  $G_k$  the set  $A'$  is a perfect barrier with  $k$ -surplus, hence by Corollary 4.11 it equals to  $A_k$ . The analogue of Theorem 4.19 also holds.

This Edmonds-Gallai type theorem for the  $(l, u)$ -piece packing problem becomes quite compact in the case  $l(v) = l < u = u(v)$  for all  $v \in V(G)$ , so we include this. Here an  $(l, u)$ -piece packing is a packing with connected graphs  $F$  with  $l \leq \Delta(F) \leq u$ . Call such a packing an  $(l < u)$ -packing. The simplicity of this structure theorem comparing to the general case is due to the fact that here an  $(l, u)$ -galaxy is just a graph with highest degree at most  $l - 1$ . So it always consists of only one tip.

**Theorem 8.2.** *For a graph  $G$  let  $D = \{v \in V(G) : v \text{ can be missed by a maximal } (l < u)\text{-packing of } G\}$ . Let  $A = \Gamma(D)$  and  $C = V(G) - (D \cup A)$ . Now*

1.  $\Delta(G[D]) \leq l - 1$ ,
2. for all  $\emptyset \neq A' \subseteq A$  the number of those components of  $G[D]$  which are adjacent to  $A'$  is at least  $u|A'| + 1$ ,
3.  $G[C]$  has a perfect  $(l < u)$ -packing, and
4. for each maximal  $(l < u)$ -packing  $P$  of  $G$ , the graph  $G - P$  has exactly  $c(G[D]) - u|A|$  connected components.

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